

4th Quarter 2009

October 27, 2009



Kingsbridge Private Wealth Management, Inc.

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# Quarterly *Update*

**“Be more concerned with your character than your reputation, because your character is what you really are, while your reputation is merely what others think you are.”**

John Wooden

## **Special points of interest:**

- Martin T. Orton joins Kingsbridge PWM as a Private Wealth Advisor
- Jennifer Roe joins Kingsbridge PWM as Operations Manager
- Kingsbridge Private Wealth Management, Inc. commits to launching a boutique Trust Company
- Kingsbridge Private Wealth Management, Inc. has moved offices.

### Our new address is:

Kingsbridge PWM, Inc.  
3625 S. Town Center Dr.  
Suite 120  
Las Vegas, NV 89135

## **Principled Wealth Management Professionals serving our clients.....**



**David J. Dunn,**  
CIMA®, CPWA<sup>SM</sup>  
Managing Director

As Kingsbridge Private Wealth Management, Inc., continues to grow, we are very focused on anticipating the needs of our clients and providing professional services that make it easier for client to effectively manage their wealth.

I founded Kingsbridge PWM to focus on providing my clients with personal wealth management advice and family office services.

Working to anticipate the future needs of our client families, we have identified several areas in

estate planning and trust administration where we believe we can add substantial value.

With that thesis in mind we have committed to launching a **Boutique Trust Company** that will be able to serve as a fiduciary trustee and be available to play an integral role in helping our clients pass wealth from one generation to the next.

As we expand the spectrum of wealth management services we offer, we are adding some additional professionals to the firm's roster.

- Martin T. Orton is joining Kingsbridge PWM as Private Wealth Advisor with over 19 years of experience. I have known Marty since 1996 and I know his

strong personal ethics and professional discipline will be a great benefit to Kingsbridge PWM.

- Jennifer Roe joined Kingsbridge PWM as our Operations Manager in August 2009. Jennifer comes to us from Charles Schwab. She brings with her a great attitude and over 13 years of experience in operations and client service.

Please see page 2 of this update for complete biographic information on both Marty and Jennifer. I am very excited to have them both on board and I look forward to introducing them to everyone. We have also moved to new office space, (please see the left column).

## **4th Quarter 2009— year end planning topics**

As another year comes to an end, we look to address the usual year end planning concerns and prepare for some major changes in tax and estate planning laws.

- ⇒ 2009 Year to date estimated realized gains and losses reports are currently being prepared for tax

planning purposes.

- ⇒ We will begin reviewing separately managed accounts for 4th quarter tax loss harvesting opportunities
- ⇒ **Annual Gifts**—now is the time to consider using your annual gift tax exclusion (**\$13,000 for 2009**), as a

gift tax free method for passing assets to children, grandchildren or anyone else you choose.

- ⇒ Plan on reviewing your estate plan in 2010, we don't know what the changes to the law will be, but we believe there will be major changes.

## Martin T. Orton joins Kingsbridge PWM as a Private Wealth Advisor

Las Vegas, Nevada — October 2009 —

Martin T. Orton is joining Kingsbridge PWM as a Private Wealth Advisor. Marty brings over 19 years of experience in wealth management to his new position at Kingsbridge PWM.

Marty has previously worked at Bank of America, Morgan Stanley and Merrill Lynch. He has earned a Bachelor's of Science degree in Business Administration from the California State Polytechnic University, Pomona.

Marty has completed all of the educational requirements to sit for the Certified Financial Planner, CFP® comprehensive examination and plans to do so in the near future.

Marty has been married 11 years and he and his wife have three children and they reside in Orange County, California.

Marty will be working out of the soon to be established Kingsbridge Private Wealth Management Southern California office.

His responsibilities will include:

- ⇒ Assisting with fixed income and equity investment management
- ⇒ Wealth planning and asset allocation
- ⇒ Investment research and manager search and selection
- ⇒ Client reviews and performance reporting

⇒ Trust and Estate planning

⇒ Relationship management and client servicing

- Marty is also a Notary in the state of California and notary services are available upon request

Please join me in welcoming Marty to Kingsbridge PWM.

## Jennifer Roe joins Kingsbridge PWM as Operations Manager

Las Vegas, Nevada — August 2009 —

Jennifer Roe has joined Kingsbridge PWM in the role of Operations Manager. Jennifer brings over 13 years of operations and client servicing experience to her position at Kingsbridge PWM.

Jennifer came to Kingsbridge PWM from Charles Schwab in Phoenix, AZ where she has worked for over 11 years. Jennifer has held the following positions at Schwab:

- Schwab Institutional Service Sr. Specialist
- Risk Analyst
- Sr. Operations Specialist
- Registered Representative

- Client Service Specialist

Jennifer also worked for US Bancorp Investments, Inc. as a Operations Tech 3 in St. Paul, MN

Jennifer's responsibilities at Kingsbridge Private Wealth Management include:

- Set up and maintenance of client accounts at third party custodians
- Execution of client money transfers
- Reconciliation of investment activity and interface with client performance reporting
- Overall office operations

- Coordination of tax and investment cost basis information with clients and their tax professionals

- Document retention and safekeeping of client and firm information

- Overall client servicing including executing transactions on clients' behalf and answering questions relating to investment activities

⇒ Jennifer is also a Notary in the state of Nevada and notary services are available upon request

Please join me in welcoming Jennifer to Kingsbridge PWM.

*Kingsbridge Private Wealth Management is committed to providing excellent advice and professional services, to a limited number of client families.*

*By limiting the number of client families we work with, we can devote the time necessary to truly understand each client's wealth management needs.*